## OBIDAM14



Ocean's Big Data Mining, 2014 (Data mining in large sets of complex oceanic data: new challenges and solutions)

8-9 Sep 2014 Brest (France)

Monday, September 8, 2014, 4:00 pm - 5:30 pm

# Introduction to data mining. Example of remote sensing image analysis

Prof. Pierre Gançarski, University of Strasbourg – Icube lab.

After a brief introduction about data mining (why-what-how), the talk presents the two type of tasks: prediction tasks which consist in learning a model from data able to predict unknown values, generally class label and description tasks which consist in finding human-interpretable patterns that describe the data. Classification of data, supervised or not, is one of the most used approach in data mining. Then, the talk introduces the most widely used classification and clustering methods.

Applications of these two approaches are mainly illustrated in remote sensing image analysis but a lot of domains are concerned by these methods.

## About Pierre Gançarski



Pierre Gançarski is full Professor in Computer Science Department of the ICube laboratory (University of Strasbourg - France).

His research interests concern the complex data mining by hybrid learning multi-classifiers and particularly the unsupervised classification user-centered.

It is also interested in studying ways to take into account the domain knowledge in the mining process. His main area (but not the single one) of applications is the classification of remote sensing images.

SUMMER SCHOOL #OBIDAM14 / 8-9 Sep 2014 Brest (France) oceandatamining.sciencesconf.org



## Data mining and remote sensing images interpretation

#### Pierre Gançarski

#### ICube CNRS - Université de Strasbourg

#### 2014







#### Contents

## Data mining

- 2 Remote sensing image
- 3 Classification
- 4 Unsupervised classification



- 3 Classification
- 4 Unsupervised classification

- Lots of data is being collected :
  - Web data, e-commerce
  - Purchases at department/grocery stores
  - Bank/Credit Card transactions; Phone call
  - Skies Telescopes scanning
  - Microarrays to gene expression data
  - Scientific simulations
  - Homics
  - ...
- and ... Remote sensing images

- Lots of data is being collected
- Comprehension/Analysis/Understanding by an human is infeasible for such raw data

- Lots of data is being collected
- Comprehension/Analysis/Understanding by an human is infeasible for such raw data
- Computers are cheaper and cheaper and more powerful

- Lots of data is being collected :
- Comprehension/Analysis/Understanding by an human is infeasible for such raw data
- Computers are cheaper and cheaper and more powerful
- $\rightarrow$  How to extract interesting (non-trivial, implicit, previously unknown and potentially useful) information or patterns from such data using computer ?

#### Data

- Lots of data is being collected :
- Comprehension/Analysis/Understanding by an human is infeasible for such raw data
- Computers are cheaper and cheaper and more powerful
- $\rightarrow$  How to extract interesting (non-trivial, implicit, previously unknown and potentially useful) information or patterns from such data using computer?

#### Solution

- Data warehousing and on-line analytical processing
- Data mining : extraction of interesting knowledge (rules, regularities, patterns, constraints) from data

Ο...

#### Data mining

- Tasks : Knowledge discovery of hidden patterns and insights
- Two kinds of method :
  - $\bullet\,$  Induction-based methods : learn the model, apply it to new data, get the result  $\to$  Prediction
    - Example : Based on past results, who will pass the DM exam next week and why ?
  - $\bullet\,$  Patterns extraction  $\to$  Discovery of hidden patterns
    - Example : Based on past results, can we extract groups of students with same behavior ?

## Data Mining : how?

#### Objective

- Objective of data mining tasks
  - Predictive data mining : Use some variables to learn model able to predict unknown or future values of other variables (e.g., class label) → Induction-based methods
    - Regression, classification, rules extraction
  - Descriptive data mining : Find human-interpretable patterns that describe the data  $\rightarrow$  Patterns extraction
    - Clustering, associations extraction













## Data mining : tasks

#### Common data mining tasks

- Regression [Predictive]
- Classification [Predictive]
- Clustering [Descriptive]
- Association Rule Discovery [Descriptive]





#### 3 Classification





Thanks to the LIVE lab (A. Puissant) and the IPGS lab (J.-P. Malet) for providing images.

#### Three dimensions

- spatial resolution : surface covered by a pixel (from 300m to few tens of centimetres)
- spectral resolution : number of spectral information (from blue to infrared) corresponding to the number of sensors
- radiometric resolution : linked to the ability to recognize small brightness variations (from 256 to 64000 level)

#### Spatial resolutions

• High spatial resolution (HSR) : 20 or 10m





#### Spatial resolutions

• Very high spatial resolution (VHSR) : from 5m to 0.5m



#### Spatial resolutions

- Level of analysis is linked to the spatial resolution
  - Urban analysis





• Level of analysis is linked to the spatial resolution





#### Spatial resolutions

- Level of analysis is linked to the spatial resolution
  - Urban analysis
  - Landslide analysis



Pierre Gançarski

Data mining - Remote sensing images 25/123

#### Three dimensions

- spatial resolution : surface covered by a pixel (from 300m to few tens of centimetres)
- spectral resolution : number of spectral information (from blue to infrared) corresponding to the number of sensors
- radiometric resolution : linked to the ability to recognize small brightness variations (from 256 to 64000 level)

#### Spectral resolutions

• Hight spectral resolution : One hundred of radiometric bands (or more)







Aerial view



band #29



band #22



band #38

#### Image interpretation

• Discrimination between (kinds of) objects can depend on the spectral resolution



Pierre Gançarski Data mining - Remote sensing images 28/123

#### Three dimensions

- spatial resolution : surface covered by a pixel (from 300m to few tens of centimetres)
- spectral resolution : number of spectral information (from blue to infrared) corresponding to the number of sensors
- radiometric resolution : linked to the ability to recognize small brightness variations (from 256 to 64000 level)

## Image interpretation

#### Semantic gap

- There are differences between the *visual* interpretation of the spectral information and the semantic interpretation of the pixels
- The semantic is not always explicitly contained in the image and depends on domain knowledge and on the context.
- ⇒ This problem is known as the *semantic gap* and is defined as the lack of concordance between low-level information (*i.e.* automatically extracted from the images) and high-level information (*i.e.* analyzed by geographers)

#### Per-pixel analysis

• Pixels are analyzed according only their radiometric responses (with possibly some indexes of texture and/or immediate neighbourhood)





#### Per-pixel analysis

 Pixels are classified analyzed only their radiometric responses (with possibly some indexes of texture and/or immediate neighbourhood)

#### Efficient with low resolutions but ...

- In (V)HSR images, a pixel is only almost any cases, a small sub-part of the thematic object
- The object to be analyzed are composed of a lot of pixels (from few tens to few hundred or more).
- → New approach : Object-based Image Analysis (OBIA)

#### Object-based Image Analysis

- Segmentation of the image : grouping neighbouring pixels according a given homogeneous criterion
- Characterization of these segments with supplemental radiometric, textural, spatial features, ...
  - $\rightarrow$  object (or region) = segment + set of features
- Analysis of these regions







#### Region-based classification

- Segmentation of the image : grouping neighbouring pixels according a given homogeneous criterion
- Characterization of these segments with supplemental radiometric, textural, spatial features, ...
  - $\rightarrow$  region = segment + set of features
- Olassification of these regions

#### Two main problems

- Segmentation
- Feature identification and selection

#### Image segmentation

- Segmentation is the division of an image into spatially continuous, disjoint and homogeneous areas, i.e. the segments.
- Segmentation of an image into a given number of regions is a problem with a large number of possible solutions.
- There are no "right", "wrong" or "better" solutions but instead "meaningful" and "useful" heuristic approximations of partitions of space.
## Pixels or regions

#### Image segmentation

• These two segmentation is intrinsically right. The choice depends on their usefulness in the next step (e.g., classification)



Mário Caetano

### Pixels or regions

### Feature identification and selection

- What type of features can be used for geographic object classification? There a an infinity of such features.
- How to select the best features for class discrimination?
- $\rightarrow\,$  How to select the smaller number of features without sacrificing accuracy ?

## Image interpretation

### Solutions

- To bridge this semantic gap, a lot of approaches can be used :
  - Human visual interpretation : untractable with the new kinds of images (VHSR especially)
  - Per pixel classification (supervised or not) : Automatic or semi-automatic labelisation of the pixels according to thematic classes
  - Segmentation and region classification : Construction of sub-parts of the image and labelisation of these (possibly by a classification process)

...









## Principles of classification

### Induction

- Classification is based on induction principle
  - Deductive reasoning is truth-preserving :
    - All human have a heart
    - All professors are human (for the moment)
    - Therefore, all professors have a heart
  - Induction reasoning adds information
    - All professor observed so far have a heart.
    - Therefore, all professors have a heart.

# Principles of classification

### Supervised classification

- Supervised classification is the task of inferring a model from labelled training data : each example is a pair consisting of an input object and a desired class.
- $\Rightarrow$  The classes to be learned are known a priori



• Classical methods : Support vector machine, Decision tree, Artificial neural network...

# Classification schema

### Offline : Induction (training)

- Define a Training set : Each record contains a set of attributes, one of the attributes is the class (class label).
- Find a model for class attribute as a function of the values of other attributes.



## Classification schema

### Inline : Deduction (Generalization or Prediction)

• Using the model, give a class label to unseen records



### How to evaluate the performance of a model?

- Use of a confusion matrix :
  - Example : N data and two classes + and -

		Predicted class	
		+	-
Actual class	+	А	В
	-	С	D

• A : True positive (TP); B : False negative (FN); C : False positive (FP); D : True negative (TN)

• 
$$N = A + B + C + D$$

### Accuracy

### • Defined as the ratio of well-classified objects :

$$\frac{A+D}{A+B+C+D} = \frac{TP+TN}{N}$$

#### Accuracy

• Example : We can apply the learned model to the data (without using class labels ! )



#### Accuracy

• Example : We can apply the learned model to the data (without using class labels ! )



• Conclusion : 30% of training error

- Example : We can apply the learned model to the data (without using class labels ! )
- Conclusion : 30% of training error
- Suppose we redo training (with new parameters for instance) until this error is zero or near.
- $\rightsquigarrow$  Question : Is the model right now ?

- Example : We can apply the learned model to the data (without using class labels ! )
- Conclusion : 30% of training error
- Suppose we redo training (with new parameters for instance) until this error is zero or near.
- $\rightsquigarrow$  Question : Is the model right now ?
  - Not necessary : the most important is that the model correctly classifies unknown data

- Example : We can apply the learned model to the data (without using class labels ! )
- Conclusion : 30% of training error
- Suppose we redo training (with new parameters for instance) until this error is zero or near.
- $\rightsquigarrow$  Question : Is the model right now ?
  - Not necessary : the most important is that the model correctly classifies unknown data
- → Question : How to calculate accuracy in this case without any information about actual classes?

- How to calculate accuracy without any information about actual classes?
  - Ask the expert
  - Use the labeled data in a different way by keeping some of them to test the model

### Cross-validation

- Usually, the given data set is divided into training and test sets, with training set used to build the model and test set used to validate it (often 2/3 1/3).
- N-fold cross-validation :
  - The given data set is divided into N subset
  - 2 The model is learn using (N-1) subsets
  - Interpretation The remaining subset is used to validation
  - The operation (step 2) is repeated N times (with a different test subset each time).
  - The best model is kept.

#### Accuracy limitation

- The accuracy is very sensible to the skew of data
- Number of examples = 9990; Number of examples + = 10 If model predicts everything to be class -, accuracy is 9990/10000 = 99.9 %

## Precision/Recall

- $P(c) = \frac{\#(c|c)}{\#(c|c) + \#(c|\overline{c})} \rightsquigarrow$  ratio of objects classified as c which actually belong to class c
- R(c) = <sup>#(c|c)</sup>/<sub>#(c|c)+#(c̄|c)</sub> → ratio of objects belonging to actual class c which are classified as c





• 
$$P(yes) = \frac{\#(yes|yes)}{\#(yes|yes) + \#(yes|no)} = \frac{2}{2+2} = 1/2$$
  
 $R(yes) = \frac{\#(yes|yes)}{\#(yes|yes) + \#(no|yes)} = \frac{2}{2+1} = 2/3$   
•  $P(no) = \frac{\#(no|no)}{\#(no|no) + \#(no|yes)} = \frac{5}{5+1} = 5/6$   
 $R(no) = \frac{\#(no|no)}{\#(no|no) + \#(yes|no)} = \frac{5}{5+2} = 5/7$ 

### A lot of classification techniques

- The most popular :
  - K-Nearest-Neighbor
  - Decision Tree based Methods
  - Bayesian classifier : not directly usable in RS analysis
  - Rule-based Methods : not directly usable in RS analysis
  - Artificial Neural Networks : to long to explain (sorry)
  - $\bullet \ \ Support \ \ Vector \ \ Machines \rightarrow tomorrow$

### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• What is the class of red star?

#### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• k=1 : blue triangle

#### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• k=1 : blue triangle; k=3 : blue triangle;

### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• k=1, k=3 : blue triangle; k=5 : undefined

#### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• k=1, k=3 : blue triangle; k=5 : undefined; k=7 : yellow cross

### Principle

- Use of a similarity measure (or distance) between data
- The label of unseen data is set according to label of its K nearest neighbors



• k=1, k=3 : blue triangle; k=5 : undefined; k=7 : yellow cross • k = N ?

#### Characteristics

- Lazy learner : It does not build models explicitly
- Classifier new data is relatively expensive
- Too high influence (unstability) of the K parameter
- Need of a similarity measure (or distance) between data

### Simarity measure

- Per-pixel classification : Euclidean distance between radiometric values  $\sqrt{(XS1_i - XS1_j)^2 + \cdots + (XS3_i - XS3_j)^2}$
- Object-oriented approaches : depends on types of features
   → Can be difficult to define

### Principle

• Decision trees are rule-based classifiers that consist of a hierarchy of decision points (the nodes of the tree).

#### Training



### Principle

• Decision trees are rule-based classifiers that consist of a hierarchy of decision points (the nodes of the tree).

#### Prediction



#### Training

- $\bullet\,$  How to build a such tree from the training data  $? \to {\sf Recursive partitioning}\,$
- At the beginning, all the records are in the root node (considered as the current node C).
- General procedure :
  - If C only contains records from the same class  $c_t$  then C become the leaf labeled  $c_t$
  - If C contains records that belong to more than one class, use an attribute test to split the data into smaller subsets (associated each to a children node).
  - Recursively apply the procedure to each children node

### Training

- How to specify the attribute test condition?
  - Depends on the attribute types : nominal,ordinal, continuous
  - Depends on number of ways to split : binary / multiple
- How to determine the best split?
  - "Heterogenity" indexes : Gini Index  $G(C) = 1 - \Sigma [p(c_i|C)]^2$ ; Entropy :  $E(C) = -\Sigma p(c_i|C) log_2 p(c_i|C)$
  - Misclassification error

### Hyperplan-based methods

• Principle : Given a to classes training dataset , find a hyperplan which separates data into the two classes



### Hyperplan-based methods

• Principle : Given a to classes training dataset , find a hyperplan which separates data into the two classes



- Where is really the boundary?
- → Overfitting appear when the model would exactly fit to the training data

### Hyperplan-based methods

• Principle : Given a to classes training dataset , find a hyperplan which separates data into the two classes



- Where is really the boundary?
  - The red hyperplan probably overfits the "Pink circle" class while the blue one the "Blue square" one

### Hyperplan-based methods

- Methods :
  - Artificial neural network find one of such hyperplan
  - Support Vector Machine find "the best one"



### Hyperplan-based methods

• What happen if the dataset is not linearly separable?


#### Hyperplan-based methods

• What happen if the dataset is not linearly separable?



 $\bullet\,$  The model is too simple  $\Rightarrow$  underfitting

#### Hyperplan-based methods

• What happen if the dataset is not linearly separable?



• Complexify the model?

#### Hyperplan-based methods

• What happen if the dataset is not linearly separable?



• Complexify the model : more and more ?

### Hyperplan-based methods

• What happen if the dataset is not linearly separable?



 Problem : if the blue square is a noise (or an outlet) all the points in light blue area will be classified as "blue square" → Test error can increase → Overfitting

### Hyperplan-based methods

- Experiments show that probability of overfitting increases with complexity.
- For instance, complexity of a decision tree increases with the number of node ~> When stop the learning ?



#### Hyperplan-based methods

• What happen if the boundary exists but is no linear?



### Hyperplan-based methods

- What happen if the boundary exists but is no linear?
- Transform data by projecting them into higher dimensional space in which they are linearly separable



~> Kernel-based methods : Support Vector Machine

Unsupervised classification

# Classification and images

### Supervised classification : case of VHSR



# What kind of object as training data?

- pixels?
- $\rightarrow$  Represent only small parts of real objects
- geographic object?
- → Need to construct candidate segments before learning.

# Classification and images

### Supervised classification : case of VHSR



### How many classes?

- 10?
  - Road
  - Building ...
- 50?
  - Road
  - Street
  - Red car
  - Blue car
  - Lighted (half) roof
  - Shadowed roof ...

Unsupervised classification

# Classification and images

#### Supervised classification : case of VHSR



How to give enough examples by class with high number of classes?

Unsupervised classification

# Classification and images

### Supervised classification : case of VHSR



Supervised approaches are mainly used to pixels classification in low resolution images





#### Supervised vs. Unsupervised learning

- Supervised learning : discover patterns in the data that relate data attributes with a target (class) attribute.
  - These patterns are then utilized to predict the values of the target attribute in future data instances.
- Unsupervised learning : The data have no target attribute
  - Explore the data to find some intrinsic structures or hidden properties.

#### Clustering

۲

• Clustering is a technique for finding similarity groups in data, called clusters :





#### Clustering

- Clustering is a technique for finding similarity groups in data, called clusters :
  - Homogeneous groups such that two objects of the same class are more similar two objects of different classes

٩



#### Clustering

- Clustering is a technique for finding similarity groups in data, called clusters :
  - Homogeneous groups such that two objects of the same class are more similar two objects of different classes
  - Homogeneous groups such as dissimilarity/distances between groups are highest



#### Unsupervised classification : clustering

- Clustering is often called an unsupervised learning task as no class values denoting an a priori grouping of the data instances are given, which is the case in supervised learning.
- Due to historical reasons, clustering is often considered synonymous with unsupervised learning.
  - Association rule mining is also unsupervised
- Typical applications
  - As a stand-alone tool to get insight into data distribution
  - As a preprocessing step for other algorithms
- Each cluster would be assigned to a thematic class by the expert.

#### Unsupervised classification : clustering

- Partitioning : Construct various partitions and then evaluate them by some criterion
- Hierarchical : Create a hierarchical decomposition of the set of objects using some criterion
- Model-based : Hypothesize a model for each cluster and find best fit of models to data
- Density-based : Guided by connectivity and density functions

### A well-known partitioning algorithm : Kmeans

- Objective : Minimization of intraclass inertia
  → Given K, find a partition of K clusters that optimizes the chosen partitioning criterion
- Process :
  - Choice of the number K of clusters
  - 2 Random choice of K centroids (seed) in the data space
  - Iteration :
    - Assign each pixel to the cluster that has the closest centroid
    - 2 Recalculate the positions of the K centroids
  - Repeat Step 3 until the centroids no longer move

### A well-known clustering algorithm : Kmeans

### Example on SPOT image



Pierre Gançarski Data mining - Remote sensing images 92/123

### A well-known clustering algorithm : Kmeans

The data space corresponding to the Spot image



Unsupervised classification

# Unsupervised classification

### A well-known clustering algorithm : Kmeans

### Random choice of K = 5 centroids (seed) in the data space



#### A well-known clustering algorithm : Kmeans

- Objective : Minimization of intraclass inertia
- Process :
  - Choice of the number K of clusters
  - 2 Random choice of K centroids (seed) in the data space

#### Iteration :

- ① Assign each pixel to the cluster that has the closest centroid
- 2 Recalculate the positions of the K centroids
- Repeat Step 3 until the centroids no longer move

### A well-known clustering algorithm : Kmeans

Assign each pixel to the cluster that has the closest centroid



### A well-known clustering algorithm : : Kmeans

Each pixel is colorized according to the clusters is belong to.



Pierre Gançarski Data mining - Remote sensing images 97/123

#### A well-known clustering algorithm : Kmeans

- Objective : Minimization of intraclass inertia
- Process :
  - Choice of the number K of clusters
  - 2 Random choice of K centroids (seed) in the data space

#### Iteration :

- ① Assign each pixel to the cluster that has the closest centroid
- **2** Recalculate the positions of the K centroids
- Repeat Step 3 until the centroids no longer move

### A well-known clustering algorithm : Kmeans

Recalculate the positions of the K centroids



#### A well-known clustering algorithm : Kmeans

- Objective : Minimization of intraclass inertia
- Process :
  - Choice of the number K of clusters
  - 2 Random choice of K centroids (seed) in the data space

#### Iteration :

- ① Assign each pixel to the cluster that has the closest centroid
- **2** Recalculate the positions of the K centroids
- Provide the second s

Unsupervised classification

# Unsupervised classification

### A well-known clustering algorithm : Kmeans

Assign each pixel to the cluster that has the closest centroid



### A well-known clustering algorithm : Kmeans

On SPOT image, each pixel is colorized according to the clusters is belong to.



Pierre Gançarski

Data mining - Remote sensing images 102/123

### A well-known clustering algorithm : Kmeans

Recalculate the positions of the K centroids



### A well-known clustering algorithm : Kmeans

#### and so on ...



### A well-known clustering algorithm : Kmeans

### and so on ...



### A well-known clustering algorithm : Kmeans

### and so on ...



Pierre Gançarski Data mining - Remote sensing images 106/123

#### A well-known clustering algorithm : Kmeans

#### and so on ...



Pierre Gançarski Data mining - Remote sensing images 107/123

### A well-known clustering algorithm : Kmeans

#### and so on ...


### A well-known clustering algorithm : Kmeans

#### and so on ...



### A well-known clustering algorithm : Kmeans

#### and so on ...



### A well-known clustering algorithm : Kmeans

#### and so on ...



### A well-known clustering algorithm : Kmeans

until the centroids do not move



#### Kmeans : Weaknesses

- The algorithm is only applicable iff mean is defined.
- The user needs to specify K.
- Kmeans is sensitive to outliers
- Kmeans is strong sensitive to initialization
- Kmeans is not suitable to discover clusters with non-convex shapes

 $\leadsto$  Despite weaknesses, Kmeans is still one of the most popular algorithms due to its simplicity and efficiency

### Hierarchical clustering

- Agglomerative (bottom up) clustering :
  - merges the most similar (or nearest) pair of clusters or objects
  - stops when all the data objects are merged into a single cluster
- Divisive (top down) clustering : It starts with all data points in one cluster, the root.
  - Splits the root into a set of child clusters. Each child cluster is recursively divided further
  - Stops when only singleton clusters of individual data points remain, i.e., each cluster with only a single point

















- Avantage : No need of average method
- Weakness : Algorithm cost (two-by-two distance evaluate)
- Often preceded by partitioning algorithm to reduce the dataset size